

FORM PTO-1390
(REV. 09-2001)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER
PIP114MANSPTUSTRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371U.S. APPLICATION NO. (If known, see 37 CFR 1.5)
NEW APPLICATION
10/501141INTERNATIONAL APPLICATION NO.
PCT/US02/08236INTERNATIONAL FILING DATE
04/04/2002PRIORITY DATE CLAIMED
04/04/2002

TITLE OF INVENTION: Product Recall Communication

APPLICANT(S) FOR DO/EO/US:

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto - (**PAGES OF SPECIFICATION AND** **PAGES OF DRAWINGS**)
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (Originally submitted 4/4/2002.)
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☐ Other items or information:

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <div style="font-size: 1.5em; font-weight: bold;">10/501141</div>		INTERNATIONAL APPLICATION NO. PCT/US02/08236		ATTORNEY'S DOCKET NUMBER PIP114MANSPECTUS	
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21. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) : Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1,080.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$920.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$770.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>				CALCULATIONS PTO USE ONLY <table style="width:100%; border: none;"> <tr> <td style="width:50%; border: none;">\$770.00</td> <td style="width:50%; border: none;"></td> </tr> <tr> <td style="border: none;">\$0.00</td> <td style="border: none;"></td> </tr> </table>		\$770.00		\$0.00	
\$770.00									
\$0.00									
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).				<table style="width:100%; border: none;"> <tr> <td style="width:50%; border: none;">\$0.00</td> <td style="width:50%; border: none;"></td> </tr> </table>		\$0.00			
\$0.00									
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$					
Total claims	51 - 20 =	31	x \$18.00	\$558.00					
Independent claims	5 - 3 =	2	x \$84.00	\$168.00					
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$280.00	\$0.00				
TOTAL OF ABOVE CALCULATIONS =				\$1,496.00					
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$0.00					
SUBTOTAL =				\$1,496.00					
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$0.00					
TOTAL NATIONAL FEE =				\$1,496.00					
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$0.00					
TOTAL FEES ENCLOSED =				\$1,496.00					
				Amount to be refunded:	\$				
				charged:	\$				

☒ A check in the amount of \$1,496.00 to cover the above fees is enclosed.

☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
 A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **50-2106**. **A duplicate copy of this sheet is enclosed.**

☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NEIFELD REF: PIP114MANSPCTUS

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF: Richard B. Mansfield

USPTO CONFIRMATION CODE: Unknown

US OR 371 APPLICATION NO: Filed Herewith

US OR 371(C)(4) FILING DATE: Filed Herewith

GROUP ART UNIT: Unknown

TITLE: Product Recall Communication

37 CFR 1.33 REQUEST TO USE CUSTOMER NUMBER 31518

FOR CORRESPONDENCE AND ATTORNEYS OF RECORD

Please use the address associated with the customer number **31518** for the correspondence address.

Please recognize the attorneys associated with the customer number **31518** as attorneys of record.

NEIFELD IP LAW, PC CUSTOMER NUMBER: 31518

NEIFELD IP LAW, PC CURRENT POSTAL ADDRESS:

Neifeld IP Law, PC

2001 Jefferson Davis Highway, Suite 1001

Arlington, Virginia 22202

Date:

7/12/2004

Robert G. Crockett

Robert Crockett

Registration No. 42,448

Attorney of Record

Printed: July 12, 2004 (11:30am)

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10/501141

15 Rec'd PCT/PTO 13 JUL 2004

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO Neifeld IP Law, PC
2001 Jefferson Davis Highway
Suite 1001
Arlington, VA 22202
Tel: 703-415-0012
Fax: 703-415-0013

Email: general@Neifeld.com

CUSTOMER NUMBER 31518, BAR CODE:



7/12/2004
DATE

Robert G. Crockett
Robert Crockett
Registration No. 42,448

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